

(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: CXU-406	Serial Number: 10/829,660
	Applicant: Rao et al.	
	Filing Date: April 22, 2004 Confirmation No: 6822	Group Art Unit: 1754

OIP E IAPW
APR 11 2005
PATENT & TRADEMARK OFFICE

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:
USSN _____, filed _____, or
USSN _____, filed _____;
Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS											
EXAMINER INITIALS	PATENTEE NAME				PATENT NUMBER						COPY NOTE
	Xu et al.				5	8	7	2	4	2	5
	Cumings et al.				6	7	0	9	5	6	5
	Kishi et al.				6	8	6	9	5	8	5

U.S. PATENT APPLICATION PUBLICATIONS											
EXAMINER INITIALS	APPLICANT'S NAME				PUBLICATION NUMBER						COPY NOTE
	Mauthner et al. 2002				0	1	0	2	3	5	5
	Lieber et al. 2003				0	0	8	9	8	9	5
	Fan et al. 2004				0	1	0	1	6	8	5

FOREIGN PATENT DOCUMENTS											
EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER				PUBLICATION DATE		TRANSLATION			COPY NOTE
								YES	NO	N/A	

*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS	COPY NOTE
	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication Article - Adjustable boron carbonitride nanotubes, Zhi et al., Journal of Applied Physics, Vol. 91, No. 8, 15 April 2002, pp. 5325-5333	
EXAMINER		DATE CONSIDERED 6/15/04
Examiner:	Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.	



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Rao et al.

Docket No: CXU-406

Serial No: 10/869,660

Group No: 1754

Confirmation No: 6822

Examiner: Alvin T. Raetzsch

Customer No: 22827

Filed: April 22, 2004

Date: March 27, 2006

For: Novel Synthesis Of Branched Carbon Nanotubes

RELATED U.S. PATENT APPLICATION


ASSISTANT COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

The following commonly assigned U.S. Patent Application is being cited to the Examiner for review and consideration. Once the application has been reviewed, it is requested that the Examiner place his or her initial to the left of the identified patent on the list document to indicate that the specific patent application has been considered.

RELATED U.S. APPLICATION

<u>Examiner's Initial</u>	<u>Inventor</u>	<u>Serial Number</u>	<u>Filing Date</u>	<u>Title of Application</u>
	Keskar et al.	11/134,052 (CXU-454)	05/20/2005	Process for Preparing Carbon Nanostructures with Tailored Properties and Products Utilizing Same